



# **Application Form and Guidelines for Approval of a Captive Breeding Program**

## **Introduction**

In Australia, Part 13A of the *Environment Protection and Biodiversity Conservation Act 1999* (EPBC Act) regulates the:

- import and export of specimens protected under the *Convention on International Trade in Endangered Species of Wild Fauna and Flora* (CITES);
- exports of Australian native specimens; and
- imports of live specimens.

Permits to export Australian native specimens and/or CITES listed specimens overseas for commercial purposes can be obtained from the Department of the Environment, Water, Heritage and the Arts (DEWHA) if the specimens being exported come from an approved program such as an approved **Captive Breeding Program**. Permits cannot be issued for the commercial export of live native mammals, reptiles, birds or amphibians.

Further information on wildlife trade, the EPBC Act and CITES is available at:  
<http://www.environment.gov.au/biodiversity/trade-use/index.html>

## **Who needs to apply for a Captive Breeding Program?**

- Individuals or companies in Australia involved in the captive breeding of **Australian native animals and/or animals listed on CITES** and who intend to export these animals overseas for commercial purposes. If the animals you are breeding are included on CITES Appendix I, DEWHA will register your program with the CITES Secretariat.
- You do not need to apply for separate approval of your breeding program by DEWHA if you are breeding:
  - Captive freshwater or saltwater crocodiles in Queensland, Western Australia or the Northern Territory; or
  - Captive emus in New South Wales, Queensland, South Australia, Tasmania, Northern Territory or Western Australia.

This is because captive breeding of these species is covered by state licensing regimes already recognised and approved under the EPBC Act. Exporters in these states must attach a copy of a State licence when applying for an export permit from DEWHA.

A list of species listed under **CITES** is at:  
[www.environment.gov.au/biodiversity/trade-use/lists/cites](http://www.environment.gov.au/biodiversity/trade-use/lists/cites)

A list of species listed as threatened under the **EPBC Act** is at:  
<http://www.environment.gov.au/biodiversity/threatened/index.html>

## Completing the Application Form

The 'Application Form' starts on page 5. Please complete the application form, detach it from the guidelines and submit it to DEWHA.

- Attach all supporting documentation to your application as requests for additional information will result in delays.
- Sign the form as unsigned applications will not be accepted.
- There are severe penalties for knowingly making false or misleading statements in this application.
- A reference to 'CBP' in the application form means Captive Breeding Operation.

## Assessment of the application

When DEWHA receives your application, it will be assessed to ensure it meets the requirements of the EPBC Act. The assessment and approval process usually takes around 4-6 weeks if an application is satisfactory and contains all supporting documentation. Assessment of your application will include a consideration of:

- The conservation status of the species.
- Whether each live animal bred under the program is shown to have been bred in captivity.
- Whether the breeding program has bred or is capable of reliably breeding at least a second generation (F2) specimens.
- Whether the breeding program has been established and maintained in a manner not detrimental to the survival of the species in the wild.
- Whether the breeding program is managed in a manner designed to maintain the breeding stock without introduction from the wild, other than to ensure ongoing genetic viability; or dispose of animals that have been confiscated, seized, rescued or removed from the wild for public health or safety.

Once a decision has been made, you will be notified of the outcome. Approval will generally be for a period of 5 years. Renewal will be subject to a review to establish that the program is still operational and continues to meet the requirements of the EPBC Act.

**Note** - Ranching (such as collection of eggs, hatching and raising the hatchlings) is not considered to be captive breeding.

**Note** - Any changes to the breeding operation, including the addition of new species, will require approval. Approval may be withdrawn if it is determined that your operation no longer meets the requirements for captive breeding.

## **Export Permits**

Once your captive breeding program has been approved, you or your clients must obtain permits from DEWHA before any specimens are exported from Australia. Contact International Wildlife Trade at the address below for more information on obtaining permits or visit DEWHA's website at:

[www.environment.gov.au/biodiversity/trade-use/permits/](http://www.environment.gov.au/biodiversity/trade-use/permits/)

Controls under the EPBC Act are in addition to those exercised under the *Quarantine Act 1908*. For information about quarantine, contact the Australian Quarantine and Inspection Service (AQIS - tel + 61 2 6272 3933).

### **For further information on Wildlife Programs and Permits please contact**

Wildlife Trade Assessments	Telephone:	(02) 6274 1353
Department of the Environment,	Facsimile	(02) 6274 1921
Water, Heritage and the Arts	Email:	<a href="mailto:wta@environment.gov.au">wta@environment.gov.au</a>
GPO BOX 787		
CANBERRA ACT 2601		

Website: [www.environment.gov.au/biodiversity/trade-use/](http://www.environment.gov.au/biodiversity/trade-use/)



# Application Form for Approval of a Captive Breeding Program

## Applicant Details

**1. Name**

Mr, Ms, Mrs, etc      First (given) name

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Family name (Surname)

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**2. Organisation/trading name (if appropriate)**

Registered name and ABN


**3. Street address**

Town/suburb	
State	Postcode

**4. Postal address**

If same as street address, tick here

Town/suburb	
State	Postcode

**5. Contact details (include area code)**

Telephone number – work / home / mobile

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Fax number

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Email

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## Program Details

**6. Is this a renewal of, or an amendment to, an existing approved CBP?**

No  **Go to next question**

Yes  Please contact DEWHA to determine what information will be required.

**7. Do you agree to have your company name and CBP details on DEWHA's website:**

No

Yes

**8. Complete the attached List of Species Bred (page 7 of the application form).**

**9. Complete the attached Breeding records (page 8 of the application form).**

**10. Complete the attached Details of breeding methods and procedures (page 9 of the application form).**

**11. Complete the attached Description of facilities (page 10 of the application form).**

**12. Tick the items for which you have attached evidence:**

**Tick the items for which you have attached evidence**

List of species (pg 7)

Breeding records (pg 8)

Details of breeding methods and procedures (pg 9)

Facility description (pg 10) (including photographs and diagrams)

Copies of State/Territory permits (if licensing is required)

**Attach information and go to Q12**

**13. Declaration by Applicant**

I declare that:

- the particulars provided by me in this application (including attachments) are true and correct in every detail.

Name of applicant or authorised person (if applicant is an organisation)

Signature of applicant or authorised person

Date signed by applicant

***Detach this application form from the guidelines and fax or mail it with all supporting documents to the following address:***

**Postal address:**

The Director  
Wildlife Trade Assessments  
Department of the Environment, Water,  
Heritage and the Arts  
GPO Box 787  
CANBERRA ACT 2601

**Phone:** (02) 6274 1900  
**Fax:** (02) 6274 1921  
**E-mail:** wta@environment.gov.au

Please provide an estimate of time taken to complete this form:

Hours  Minutes

***Include:***

- the time actually spent reading the instructions, working on the questions and obtaining the information; and
- the time spent by your employees in collecting and providing this information.



## Breeding Records

*Please answer the questions below. Written answers can be inserted in the spaces provided or if more convenient the applicant may attach information in a different format but must include all required information.*

**PLEASE PRINT**

1. Please provide mortality records and annual production figures.
2. Please provide the number and source of parental stock and an estimate of additional breeding stock requirements.
3. Please provide documentation showing that the species has been bred to second generation offspring (F2) at the facility and a description of the method used. If your facility has not yet bred the species to the second generation provide documentation that demonstrates that these methods have been used successfully elsewhere to reliably produce second generation offspring (include reference or contact details to enable verification).
4. Where appropriate: please provide the age and identification (eg band or tag numbers, transponders, distinguishing marks) of each male and each female; evidence of legal acquisition of each male and each female (eg receipts, CITES documents, capture permits); and the known or likely genetic relationship within and between breeding pairs.

## Details of Breeding Methods

*Please answer the questions below. Written answers can be inserted in the spaces provided or if more convenient the applicant may attach information in a different format but must include all required information.*

**PLEASE PRINT**

1. Please provide a description of the management of the breeding-stock and offspring, expected future production of offspring; description of strategy to add offspring to the breeding-stock as future replacement stock and/or to expand the breeding-stock.

2. Please provide a description of the breeding performance of each generation produced in captivity; including records that describe the percentage of the breeding-age portion of the operation's specimens that have bred and produced viable offspring.

3. Please provide a description of the marking methods used to identify specimens.

## Description of Facilities

*Please answer the questions below. Written answers can be inserted in the spaces provided or if more convenient the applicant may attach information in a different format but must include all required information.*

**PLEASE PRINT**

1. Please provide a description of facilities (including photographs and diagrams). Include details of the methods for providing food, water, health care arrangements, diet, nutrient supplements.